

(One Small Aspect of) Convexity and Curvature

Stephen Lynch

FU Berlin

February 23, 2018

Let $\Sigma \subset \mathbb{R}^{n+1}$ be a smooth embedded copy of

$$S^n := \{x \in \mathbb{R}^{n+1} : |x| = 1\}.$$

Let $\Sigma \subset \mathbb{R}^{n+1}$ be a smooth embedded copy of

$$S^n := \{x \in \mathbb{R}^{n+1} : |x| = 1\}.$$

- ▶ Jordan-Brouwer tells us that Σ bounds a domain $\Omega \subset \mathbb{R}^{n+1}$

Let $\Sigma \subset \mathbb{R}^{n+1}$ be a smooth embedded copy of

$$S^n := \{x \in \mathbb{R}^{n+1} : |x| = 1\}.$$

- ▶ Jordan-Brouwer tells us that Σ bounds a domain $\Omega \subset \mathbb{R}^{n+1}$
- ▶ Ω is convex if for every $x, y \in \Omega$, the straight line connecting x and y remains in Ω

An infinitesimal description of convexity:

- ▶ Let $\nu : \Sigma \rightarrow S^n$ be a smooth normal vectorfield on Σ

An infinitesimal description of convexity:

- ▶ Let $\nu : \Sigma \rightarrow S^n$ be a smooth normal vectorfield on Σ
- ▶ $D\nu$ completely describes how Σ is curving in the ambient space

An infinitesimal description of convexity:

- ▶ Let $\nu : \Sigma \rightarrow S^n$ be a smooth normal vectorfield on Σ
- ▶ $D\nu$ completely describes how Σ is curving in the ambient space
- ▶ It is convenient to define an operator A (the second fundamental form) by

$$A : T\Sigma \times T\Sigma \rightarrow \mathbb{R}$$
$$(X, Y) \mapsto \langle D_X \nu, Y \rangle$$

An infinitesimal description of convexity:

- ▶ Let $\nu : \Sigma \rightarrow S^n$ be a smooth normal vectorfield on Σ
- ▶ $D\nu$ completely describes how Σ is curving in the ambient space
- ▶ It is convenient to define an operator A (the second fundamental form) by

$$A : T\Sigma \times T\Sigma \rightarrow \mathbb{R}$$
$$(X, Y) \mapsto \langle D_X \nu, Y \rangle$$

- ▶ Σ is convex if and only if $A \geq 0$

An infinitesimal description of convexity:

- ▶ Let $\nu : \Sigma \rightarrow S^n$ be a smooth normal vectorfield on Σ
- ▶ $D\nu$ completely describes how Σ is curving in the ambient space
- ▶ It is convenient to define an operator A (the second fundamental form) by

$$A : T\Sigma \times T\Sigma \rightarrow \mathbb{R}$$
$$(X, Y) \mapsto \langle D_X \nu, Y \rangle$$

- ▶ Σ is convex if and only if $A \geq 0$

This is useful for doing analysis (picture A solving some PDE).

Suppose now that Σ is instead an embedded copy of S^n in \mathbb{R}^{n+k} .

Suppose now that Σ is instead an embedded copy of S^n in \mathbb{R}^{n+k} .

The normal space

$$N\Sigma := \{V \in \mathbb{R}^{n+k} : \langle V, X \rangle = 0 \quad \forall X \in T\Sigma\}$$

is now k -dimensional.

Suppose now that Σ is instead an embedded copy of S^n in \mathbb{R}^{n+k} .

The normal space

$$N\Sigma := \{V \in \mathbb{R}^{n+k} : \langle V, X \rangle = 0 \quad \forall X \in T\Sigma\}$$

is now k -dimensional.

If $\{\nu_\alpha\}$ is an orthonormal basis for $N\Sigma$, we define

$$\begin{aligned} A_\alpha : T\Sigma \times T\Sigma &\rightarrow \mathbb{R} \\ (X, Y) &\mapsto \langle D_X \nu_\alpha, Y \rangle \end{aligned}$$

The k maps $\{A_\alpha\}$ are the components of the vector-valued second fundamental form

$$A : T\Sigma \times T\Sigma \rightarrow N\Sigma.$$

The k maps $\{A_\alpha\}$ are the components of the vector-valued second fundamental form

$$A : T\Sigma \times T\Sigma \rightarrow N\Sigma.$$

Convexity no longer makes sense, but maybe we can place a (nice) condition on A which recovers some of its properties...

Nice = basis invariant.

Some basis invariant objects:

- ▶ $|A|^2 := \sum_{\alpha} |A_{\alpha}|^2 = \sum_{\alpha} \text{tr}(A_{\alpha}^T A_{\alpha})$

Nice = basis invariant.

Some basis invariant objects:

- ▶ $|A|^2 := \sum_{\alpha} |A_{\alpha}|^2 = \sum_{\alpha} \text{tr}(A_{\alpha}^T A_{\alpha})$
- ▶ $\text{tr} A = \sum_{\alpha} \text{tr} A_{\alpha}$

Nice = basis invariant.

Some basis invariant objects:

- ▶ $|A|^2 := \sum_{\alpha} |A_{\alpha}|^2 = \sum_{\alpha} \text{tr}(A_{\alpha}^T A_{\alpha})$
- ▶ $\text{tr } A = \sum_{\alpha} \text{tr } A_{\alpha}$
- ▶ $|\text{tr } A|^2$

Nice = basis invariant.

Some basis invariant objects:

- ▶ $|A|^2 := \sum_{\alpha} |A_{\alpha}|^2 = \sum_{\alpha} \text{tr}(A_{\alpha}^T A_{\alpha})$
- ▶ $\text{tr} A = \sum_{\alpha} \text{tr} A_{\alpha}$
- ▶ $|\text{tr} A|^2$

Ansatz for the new condition:

$$|A|^2 \leq c_n |\text{tr} A|^2.$$

Returning to $k = 1$, $A : T\Sigma \times T\Sigma \rightarrow \mathbb{R}$ is symmetric and can be diagonalised. Denote its eigenvalues by $\lambda_1, \dots, \lambda_2$.

Returning to $k = 1$, $A : T\Sigma \times T\Sigma \rightarrow \mathbb{R}$ is symmetric and can be diagonalised. Denote its eigenvalues by $\lambda_1, \dots, \lambda_n$.

- ▶ $|A| \leq c_n |\text{tr } A|^2$ says that

$$\sum_i \lambda_i^2 \leq c_n \left(\sum_i \lambda_i \right)^2.$$

Returning to $k = 1$, $A : T\Sigma \times T\Sigma \rightarrow \mathbb{R}$ is symmetric and can be diagonalised. Denote its eigenvalues by $\lambda_1, \dots, \lambda_n$.

- ▶ $|A| \leq c_n |\operatorname{tr} A|^2$ says that

$$\sum_i \lambda_i^2 \leq c_n \left(\sum_i \lambda_i \right)^2.$$

- ▶ For $c_n = \frac{1}{n}$, Young's inequality implies

$$\lambda_1 = \dots = \lambda_n,$$

in which case $\operatorname{tr} A \geq 0 \Rightarrow A \geq 0$.

Returning to $k = 1$, $A : T\Sigma \times T\Sigma \rightarrow \mathbb{R}$ is symmetric and can be diagonalised. Denote its eigenvalues by $\lambda_1, \dots, \lambda_n$.

- ▶ $|A| \leq c_n |\operatorname{tr} A|^2$ says that

$$\sum_i \lambda_i^2 \leq c_n \left(\sum_i \lambda_i \right)^2.$$

- ▶ For $c_n = \frac{1}{n}$, Young's inequality implies

$$\lambda_1 = \dots = \lambda_n,$$

in which case $\operatorname{tr} A \geq 0 \Rightarrow A \geq 0$.

- ▶ $\operatorname{tr} A \geq 0 \Rightarrow A \geq 0$ still holds if $c_n \leq \frac{1}{n-1}$ (sharp).

What can we say about the condition

$$|A|^2 \leq \frac{1}{n-1} |\operatorname{tr} A|^2$$

for larger values of k ? What properties does it share with convexity?

What can we say about the condition

$$|A|^2 \leq \frac{1}{n-1} |\operatorname{tr} A|^2$$

for larger values of k ? What properties does it share with convexity?

For $k = 1$ and $n = 2$, $A \geq 0$ implies that the Gauss curvature of Σ (given by $\det A$) is nonnegative.

What can we say about the condition

$$|A|^2 \leq \frac{1}{n-1} |\operatorname{tr} A|^2$$

for larger values of k ? What properties does it share with convexity?

For $k = 1$ and $n = 2$, $A \geq 0$ implies that the Gauss curvature of Σ (given by $\det A$) is nonnegative.

The Gauss curvature is intrinsic (depends only on the metric structure of Σ) and vanishes if and only if Σ is locally isometric to \mathbb{R}^2 .

For $n \geq 2$, the Riemannian curvature operator

$$\mathcal{R} : \wedge^2 T\Sigma \times \wedge^2 T\Sigma \rightarrow \mathbb{R}$$

measures intrinsic curvature (vanishes if and only if Σ is locally isometric to \mathbb{R}^n).

For $n \geq 2$, the Riemannian curvature operator

$$\mathcal{R} : \wedge^2 T\Sigma \times \wedge^2 T\Sigma \rightarrow \mathbb{R}$$

measures intrinsic curvature (vanishes if and only if Σ is locally isometric to \mathbb{R}^n).

For $k = 1$ and $n \geq 2$, $A \geq 0$ if and only if $\mathcal{R} \geq 0$.

For $n \geq 2$, the Riemannian curvature operator

$$\mathcal{R} : \wedge^2 T\Sigma \times \wedge^2 T\Sigma \rightarrow \mathbb{R}$$

measures intrinsic curvature (vanishes if and only if Σ is locally isometric to \mathbb{R}^n).

For $k = 1$ and $n \geq 2$, $A \geq 0$ if and only if $\mathcal{R} \geq 0$.

For all $k \geq 1$, we now know that if $|\operatorname{tr} A| > 0$ then

$$|A|^2 \leq \frac{1}{n-1} |\operatorname{tr} A|^2 \Rightarrow \mathcal{R} \geq 0.$$

To summarise, $|A|^2 \leq \frac{1}{n-1} |\operatorname{tr} A|^2$ is :

- ▶ Extrinsic
- ▶ Independent of choices of bases
- ▶ Forces nonnegativity of the intrinsic curvature,

and so, might serve as a high-codimension substitute for convexity.

To summarise, $|A|^2 \leq \frac{1}{n-1} |\operatorname{tr} A|^2$ is :

- ▶ Extrinsic
- ▶ Independent of choices of bases
- ▶ Forces nonnegativity of the intrinsic curvature,

and so, might serve as a high-codimension substitute for convexity.

For example...

A Liouville-type theorem for high codimension mean curvature flow:

Theorem

Let $\Sigma_t^n \subset \mathbb{R}^{n+k}$, $n \geq 2$, solve mean curvature flow for all $t \in (-\infty, 0)$. If there is a positive constant ε such that

$$|A|^2 - \frac{1}{n-1} |\operatorname{tr} A|^2 \leq -\varepsilon |\operatorname{tr} A|^2 < 0$$

on Σ_t for all $t \in (-\infty, 0)$, then Σ_t is a family of homothetically shrinking spheres inside an affine copy of \mathbb{R}^{n+1} .